

AUG 05 2004

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**► FACSIMILE TRANSMITTAL SHEET ◀****TO: TECHNOLOGY A/U 2811****DATE: AUGUST 5, 2004****COMPANY: U.S. PATENT AND TRADEMARK OFFICE****FROM: EUGENE M. LEE, REG. NO. 32,039****FAX NUMBER: 703.872.9306****TOTAL NO. OF PAGES INCLUDING COVER: 6****OUR REFERENCE NUMBER: 249/290 DIV 1**

In re the Application of

In-kyeong YOO, et al.

Art Unit: 2811

Serial No. 10/774,456

Examiner:

Filed: February 10, 2004

Confirmation No. 9151

For: MEMORY DEVICE COMPRISING SINGLE TRANSISTOR HAVING FUNCTIONS OF RAM AND  
ROM AND METHODS FOR OPERATING AND MANUFACTURING THE SAME

Transmitted herewith is/are

►INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56 W/FORM PTO/SB/08A &  
COPY/FORM PTO/892 FROM RELATED APPLICATION SN 10/776,233.

Return receipt , via facsimile [to 703.525.4265], of the above-referenced document(s) is hereby  
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**LEE & STERBA, P.C.**

AUG 05 2004

Attorney Docket No. 249/290 DIV 1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In-kyeong YOO, et al.

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TRANSISTOR HAVING FUNCTIONS OF RAM  
AND ROM AND METHODS FOR OPERATING  
AND MANUFACTURING THE SAME

OFFICIAL

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §1.56**

Commissioner for Patents  
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 (or PTO-1449, *modified*) is a listing of a document(s) known to applicant in order to comply with applicant's duty of disclosure pursuant to 37 C.F.R. §1.56.

☐ A copy of each listed document is being submitted to comply with the provisions of 37 C.F.R. §§1.97 & 1.98. [Box checked if applicable.]

☒ A copy of each listed non-U.S. patent and/or patent publication and cited literature is being submitted to comply with the provisions of 37 C.F.R. §§1.97 & 1.98. Cited U.S. patents and patent publications are *not* attached in accordance with the waiver set forth in the Notice by the Deputy Commissioner for Patent Examination Policy dated July 11, 2003, applicable to applications having a U.S. filing date *after* June 30, 2003. [Box checked if applicable.]

\* \* \*

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action that would be appropriate to

antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

☒ The listed document(s) is/are being submitted in compliance with 37 C.F.R. 1.97(b)(3): submission before the mailing date of the first action on the merits. [Box checked if applicable.]

☒ The foregoing document(s) came to applicant's attention during the prosecution of their co-pending related application Serial No. 10/776,233, filed February 12, 2004, pending in Art Unit 2824 before Examiner Vu Anh Le. ☒ A copy of PTO Form 892 from an Action issued July 19, 2004, in SN 10/776,233 is also attached. [Box(es) checked if applicable.]

#### CERTIFICATION

☐ The undersigned hereby certifies in accordance with 37 CFR §1.97(e)(1) that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement. [Box checked if applicable.]

\* \* \*

Applicant respectfully requests that the listed document(s) be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

\* \* \*

#### FEE PAYMENT

- ☐ The fee mandated by 37 C.F.R. §1.17(p) is believed applicable. [Box checked if applicable.]
- ☐ Attached is a check in payment of this fee (\$180.00). [Box checked if applicable.]
- ☐ This fee may be charged to Deposit Account No. 50-1645. (A duplicate copy of this paper is enclosed.) [Box checked if applicable.]

Respectfully submitted,

August 5, 2004  
Date

  
Eugene M. Lee, Registration No. 32,039

PTO/SB/08A (04-03)

Approved for use through 04/30/2003. OMB 0651-0031

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/774,456
Filing Date	February 10, 2004
First Named Inventor	In-kyeong YOO, et al
Art Unit	2811
Examiner Name	
Attorney Docket Number	249/290 DIV 1

[illegible][illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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